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PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No.	P32.12-0019
First Inventor or Application Identifier	Evren Eryurek et al.
Title	ROOT CAUSE DIAGNOSTICS
Express Mail Label No.	EL844349485US

JCSB U.S. PTO
 09/20/01
 10/05/01

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	Address To: Assistant Commissioner for Patent Applications Box Patent Application Washington, DC 20231
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1. *Fee Transmittal Form e.g., PTO/SB17
(Submit an original and a duplicate for fee processing)
2. Specification [Total Sheets (preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. § 113) [Total Sheets - 4. Oath or Declaration [Total Sheets - a. Newly executed (original or copy)
- b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (Identical to computer copy)
 - c. Statement verifying identity of above copies

- ACCOMPANYING APPLICATION PARTS**
7. Assignment Papers (cover sheet & document(s))
 8. 37 C.F.R. § 3.73(b) Statement (when there is an assignee) Power of Attorney
 9. English Translation Document
 10. Information Disclosure Statement (IDS/PTO – PTO) Copies of IDS
 11. Preliminary Amendment
 12. Return Receipt Postcard (MPEP 503)
 13. *Small Entity Statement filed in prior application. Status still proper and desired (PTO/SB/09-12)
 14. Certified Copy of Priority Document(s) (if foreign priority is claimed)
 15. Request and Cert. Under 35 USC 122 (Non-Pub)
 16. Other:

*** NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation – in part (CIP) of prior application No: 09/303,869

Prior application information: Examiner S. Rao Group/Art Unit: 2121

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below

Name	Judson K. Champlin			WESTMAN CHAMPLIN & KELLY		
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Name (Print/type)	Judson K. Champlin	Registration No. (Attorney/Agent)	34,797
Signature		Date	10/5/01

FEE TRANSMITTAL

Complete if Known

Application No.	Filed Herewith
Filing Date	Filed Herewith
First Named Inventor	Evren Eryurek et al.
Title	ROOT CAUSE DIAGNOSTICS
Group Art Unit	
Examiner Name	
Atty. Docket Number	P32.12-0019

10/05/01
 09/9/2008
 U.S. PRO

Total Amount of Payment \$ 920 and \$40

METHOD OF PAYMENT (Check One)

- The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123. Westman, Champlin & Kelly, P.A.
- PTO 2038 Forms Enclosed

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - Late filing fee or oath	
127	50	227	25 Surcharge - Late provisional Filing Fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For Filing a Request for Reexamination. (ex parte)	
115	110	215	55 Extension for reply within first month	
116	400	216	200 Extension for reply within second month	
117	920	217	460 Extension for reply within third month	
118	1,440	218	720 Extension for reply within fourth month	
128	1,960	280	980 Extension for reply within fifth month	
120	320	220	160 Filing a brief in support of an appeal	
121	280	221	140 Request for oral hearing	
148	110	248	55 Terminal Disclaimer Fee	
140	110	240	55 Petition to Revive - unavoidable	
141	1,310	241	670 Petition to Revive - unintentional	
142	1,310	242	670 Utility/Reissue issue fee (inc. advance copies)	
143	490	243	260 Design issue fee (inc. advance copies)	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Petitions related to provisional applications	
126	180	126	180 Submission of Information Disclosure Statement	
581	40	581	40 Recording each patent assignment per property (times number of properties)	\$40
Other Fee (specify) _____				

FEE CALCULATION

1. BASIC FILING FEE

Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
101	740	201	370 <input checked="" type="checkbox"/> Utility Filing Fee
106	330	206	165 <input type="checkbox"/> Design Filing Fee
108	740	208	370 <input type="checkbox"/> Reissue Filing Fee
114	160	214	80 <input type="checkbox"/> Prov. Filing Fee
Subtotal (1) \$ 740			

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	30	20	10	18	180
Indep.	3	3	0	84	0
Multiple Dependent Claims					
** Insert 3 and 20, or number previously paid if greater; Reissue see below					
Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Description		
103	18	203	9 Claims in excess of 20		
102	84	202	42 Independent claims in excess of 3		
104	280	204	140 Multiple Dependent Claims		
109	84	209	42 Reissue Independent Claims over Original Patent		
110	18	210	9 Reissue claims in excess of 20 and over original patent		
Subtotal (2) \$ 180					

Subtotal (2) \$ 180

Subtotal (3) \$ 40

Signature Judson K Champlin

Reg. No. 34,797

Date 10/5/01

Deposit Account No. 23-1123